

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re

U.S. Application of: Kazuyuki NISHI, Yuichiro ORI, Tomokazu  
TAGUCHI and Kazunari TADA

For: METHOD FOR FABRICATING A PRISM AND  
METHOD FOR FABRICATING AN OPTICAL  
SYSTEM

U.S. Serial No.: To Be Assigned

Confirmation No.: To Be Assigned

Filed: Concurrently

Group Art Unit: To Be Assigned

Examiner: To Be Assigned

**MAIL STOP PATENT APPLICATION**

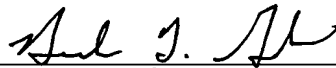
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

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DATE OF DEPOSIT: NOVEMBER 18, 2003  
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addressed to MAIL STOP PATENT APPLICATION, Commissioner for  
Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

DERRICK T. GORDON

Name of Person Mailing Paper or Fee



Signature

November 18 2003

Date of Signature

**INFORMATION DISCLOSURE STATEMENT**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants wish to bring the following items to the attention of the Examiner. A copy of each document is enclosed for the convenience of the Examiner.

No representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of this material in any way reflects its relative pertinence.

The following document is cited in the specification of the application:


- (1) Tsuji et al, U.S. Patent No. 6,005,835, issued December 21, 1999;

Applicants also wish to bring to the attention of the Examiner the following documents:

- (2) Japanese Unexamined Published Application No. (A) 9-265655,  
(MATSUSHITA ELECTRIC IND CO LTD), published October 7, 1997;  
and
- (3) Japanese Unexamined Published Application No. (A) 2001-118279,  
(RICOH CO LTD), published April 27, 2001.

As this Information Disclosure Statement is being filed concurrently with the new utility application, no fee is incurred. However, if it should be determined that a fee is required, please charge any required fee (other than the issue fee) during the pendency of this application to Sidley Austin Brown & Wood LLP's Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.

Respectfully submitted,

By:   
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Douglas A. Sorensen  
Reg. No. 31,570  
Attorney for Applicants

DAS/llb  
SIDLEY AUSTIN BROWN & WOOD LLP  
717 N. Harwood, Suite 3400  
Dallas, Texas 75201  
Direct: (214) 981-3482  
Main: (214) 981-3300  
Facsimile: (214) 981-3400  
November 18, 2003

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANTS</b>				<b>Complete if Known</b>				
				Application Number		To Be Assigned		
				Confirmation No.		To Be Assigned		
				Filing Date		Concurrently		
				First Inventor		Kazuyuki NISHI et al		
				Group Art Unit		To Be Assigned		
Examiner Name		To Be Assigned						
Sheet	1	of	1	Attorney Docket No.		15162/06290		
<b>U.S. PATENT DOCUMENTS</b>								
Examiner Initials	Cite #	DOCUMENT NUMBER	C O D E	PATENTEE	ISSUE DATE (mm/dd/yy)	CLASS	SUB CLASS	Filing Date if Appropriate
	(1)	6,005,835		Tsuji et al	12/21/99	369	112	
<b>FOREIGN PATENT DOCUMENTS</b>								
Examiner Initials		O F F I C E	NUMBER	C O D E	PUBLICATION DATE (mm/dd/yy)	TRANSLATION Yes          No		
	(2)	JP	9-265655	A	10/07/97	ABSTRACT ONLY		
	(3)	JP	2001-118279	A	04/27/01	ABSTRACT ONLY		
<b>NON PATENT LITERATURE DOCUMENTS</b>								
Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published							
Examiner Signature					Date Considered			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.